

Global Tax Information for Entity Accounts

In terms of Foreign Account Tax Compliance Act (FATCA), a US federal law and Multilateral Competent Authority Agreement (MCAA), statutorily mandated in India, each Client is required to disclose the “**Tax Residency Details**” and their “**Controlling Persons**” for such entities investing in the Indian Financial markets.

“Controlling Persons” are natural persons who exercise control over an entity and includes its beneficial owners. Such “Controlling Persons / Beneficial Owners” may be acting alone or collectively or through one or more juridical person and exercise control through ownership ultimately leading to a controlling interest / entitlements to:

1. More than 25% of share or capital or profits of the entity where it is a company;
2. More than 15% of the capital or profits of the entity where the entity is a partnership;
3. More than 15% of the property or capital or profits of the entity where it is an unincorporated association or body of individuals.
4. More than 15% of the capital or profits of the entity where the entity is a trust and the Controlling Persons may include the Settlor, trustees, protector, beneficiaries or a class of beneficiaries.
5. More than 15% of the capital or profits where there is a legal arrangement (other than a trust). Where there is no identifiable natural person then the person who holds position of senior managing person shall be deemed to be the Controlling Person.

SECTION I – Basic details of the Entity

1.1	Full Name of the Entity	
1.2	Entity Registration Number	
1.3	Country of Registration of entity	
1.4	Nature of Business	☐ Manufacturing ☐ Finance / Investments ☐ Services ☐ Consultants ☐ Trading ☐ Others (please specify)_____

SECTION II - Particulars of ‘Tax Residency Status’ of the Entity

2.1	Tax Identification Number allotted by tax authorities in country of tax residency (Provide TIN or its functional equivalent or Company Identification Number or Global Entity Identification Number in your country of residence)	
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Disclosure on Controlling Persons of the Entity
(use multiple sheets of this disclosure where needed)

SECTION III – Basic details of the Controlling Person of the Entity

Details of each controlling person of the Entity, confirming countries of tax residency/ permanent residency/ citizenship and Tax Identification Numbers for EACH controlling person

(To be filled by each controlling person of reporting entity separately)

3.1	Name	
3.2	Father's name (mandatory if PAN not provided)	
3.3	Nationality	
3.4	Passport Number	
3.5	City of birth	
3.6	Country of birth	
3.7	Occupation type	☐ Service ☐ Business ☐ Others _____ (Please specify)
3.8	% of beneficial interest	

SECTION IV - 'Tax Residency Status' of the Controlling Person of the Entity

4.1	I am resident in India for tax purposes	☐ Yes ☐ No
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If the answer to 4.1 is NO, then please provide your answers to 4.2 and 4.3 otherwise proceed to Declaration

4.2	Tax Residence Country Name	
	Address	
	City	
	PIN Code	
	Address Type	☐ Residential ☐ Business
	Tax Identification Number (or its equivalent in your country of residence) allotted by the tax authorities	

4.3	I am tax resident in more than one country	☐ Yes ☐ No
If the answer to 4.3 is YES, then please provide your answers to 4.4 otherwise proceed to Declaration		
4.4	Tax Identification Number (or its equivalent) allotted by tax authorities in second country of tax residency	
Section V – Declaration We agree to notify Orbis Financial Corporation Limited (Orbis) within 30 days of any change in our ‘Tax Residence Status’ for income tax purposes as stated above. We agree to indemnify Orbis in respect of any false or misleading information regarding our ‘Tax Residency Status’ being furnished to Orbis.		

Signature

Date:

Place: